

Express Mail Label No. EV392127431US
Date of Deposit: October 12, 2004

Attorney Docket No. 25669-020NATL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Smith and Taubman
SERIAL NUMBER : 10/797,821 EXAMINER : Not Yet Assigned
FILING DATE : March 9, 2004 ART UNIT : 1646
FOR : Glucan Binding Protein and Glucosyltransferase Immunogens

Mail Stop: Missing Parts

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

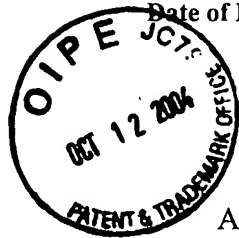
October 12, 2004
Boston, Massachusetts

SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING PARTS

In further response to the Notice to File Missing Parts mailed on June 12, 2004 ("the Notice") and supplementing Applicants' previous response to the Notice filed on September 24, 2004, Applicants submit herein a copy of the Notice to File Missing Parts, initial electronic and paper copies of the Sequence Listing, and a Statement of Support of Computer Readable Form Submission. In the response filed September 24, 2004, Applicants submitted a Petition for Extension of Time along with the appropriate fee. A copy of that petition is enclosed herewith. With that two-month extension, a response to the Notice is due on or before October 12, 2004. Accordingly, Applicants believe no fees are due in connection with this supplemental filing. Please charge any additional fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No.25669-020.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306
Attorney for Applicants
c/o MINTZ, LEVIN, COHN, FERRIS,
GLOVSKY & POPEO, P.C.
Tel: (617) 542-6000
Fax: (617) 542-2241
Customer No. 30623



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS : Smith and Taubman
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MAIL STOP: Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir:

Transmitted herewith for filing in the present application are the following documents:

- ☒ Supplemental Response to Notice to File Missing Parts (1 pg.);
- ☒ Copy of Notice to File Missing Parts mailed July 12, 2004 (2 pgs.);
- ☒ Electronic Copy of Sequence Listing (1 disk)
- ☒ Statement in Support of Computer Readable Form Submission (1 pg.);
- ☒ Paper Copy of Sequence Listing (68 pgs.);
- ☒ Copy of Petition for Extension of Time filed September 24, 2004 (1 pg.); and
- ☒ Return Postcard.

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Although Applicants believe no fees are due with this submission, the Commissioner is hereby authorized to charge any fees that may be due to Deposit Account No. 50-0311, Reference No. 25669-020. A duplicate copy of this Transmittal Letter is enclosed.

Respectfully submitted,

Ingrid A. Beattie, Reg. No. 42,306

Attorney for Applicants

Tel: (617) 542-6000

Fax: (617) 542-2241

Customer No. 30623

Express Mail Label No. EV392127431US

Date of Deposit: October 12, 2004

Attorney Docket No. 25669-020



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/797,821	03/09/2004	Daniel J. Smith	25669-020

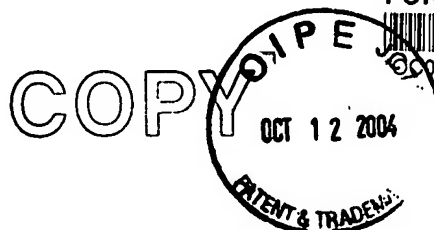
Mintz, Levin, Cohn, Ferris,
 Glovsky and Popeo, P.C.
 One Financial Center
 Boston, MA 02111

CONFIRMATION NO. 6049

FORMALITIES LETTER



0000000013213286*



Date Mailed: 07/12/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$65 for a Small Entity

- \$65 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
Commissioner for Patents
P.O. Box 1450
Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

B.H.
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE